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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/777,998	02/12/2004	John W. Holaday	05213-0345 (43170-297419)

CONFIRMATION NO. 3664

## FORMALITIES LETTER



\*OC000000013533122\*

23370  
 JOHN S. PRATT, ESQ  
 KILPATRICK STOCKTON, LLP  
 1100 PEACHTREE STREET  
 ATLANTA, GA 30309

Date Mailed: 08/13/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/25/2004 YPOLITE1 00000034 10777998

01 FC:2001  
 02 FC:2051

385.00 OP  
 65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

B.H.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Holaday et al.

Serial No. 10/777,998

Filed: February 12, 2004

For: Compositions and Methods for  
Regulating Endothelial Cell Proliferation  
and Regulating Angiogenesis Using  
Serine Proteases

Art Unit: 1614

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures which was mailed on August 13, 2004, Applicants enclose an initial Computer Readable Form (CRF) of the Sequence Listing. Pursuant to 37 C.F.R. § 1.821(e) and (f), the CRF form of the Sequence Listing contains no new subject matter and is identical to the paper copy which was submitted with the application as filed.

Respectfully submitted,

By: Sima Singadia Kulkarni  
Reg. No. 43,732

KILPATRICK STOCKTON LLP  
1100 Peachtree Street  
Suite 2800  
Atlanta, Georgia 30309  
Telephone: (404) 815-6500  
Our Docket: 05213-0345 (43170-297419)

CERTIFICATE OF MAILING

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Mail Stop Sequence, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 19, 2004.

Sima Singadia Kulkarni - Reg. No. 43,732



PATENTS

## IN THE U.S. PATENT AND TRADEMARK OFFICE

In re: Application of: **Holaday et al.**

Docket No. 05213-0345 (43170-297419)

Serial No. 10/777,998

Filed: **February 12, 2004**For: **COMPOSITIONS AND METHODS FOR REGULATING ENDOTHELIAL CELL PROLIFERATION AND REGULATING ANGIOGENESIS USING SERINE PROTEASES****RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED**

Attention: Mailstop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are papers in the above-identified application.

- ☒ Formalities Letter – Notice to File Missing Parts of Application – Filing Date Granted.  
☒ Response to Notice to File Missing Parts of Nonprovisional Application regarding Notice to Comply with Requirements for Patent Applications Containing Sequence Disclosures with Computer Diskette.  
☒ The additional fee is calculated as shown below.  
☒ Applicant claims small entity status.

				OTHER THAN SMALL ENTITY		
SMALL ENTITY						
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE			\$385	385	\$770	
TOTAL CLAIMS	20 - 20 =	0	x9 =		x18 =	
INDEP. CLAIMS	3 - 3 =	0	x43 =		x86 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+145 =		+290 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =	65	+130 =	
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<input type="checkbox"/> OTHER					+435 =	
			TOTAL	\$450	TOTAL	

- ☒ A check in the amount of \$450 is attached to cover the patent application filing fee.  
☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 19, 2004.

*Sima Singadia Kulkarni*

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